

Welcome to BMO InvestorLine **BMO INVESTORLINE Corporate, Trust, Estate and Non-Personal Account Application**

A FOR QUICKER AND MORE EFFICIENT SERVICE, please complete all relevant sections

If you are applying for: Please complete:

- A new accountAll sections
- Updating an existing account Only the relevant sections

Account Number:

Preferred Language: English French

Application Type: Partnership Corporation Investment Club Estate Trust Charitable Organization Not for Profit Org.

Account Type Request: Cash Margin Margin with Options Margin with Short Selling (All investment accounts operate in both U.S. and Canadian Dollars.)

Account Characteristic(s): Pro* BMO Staff

*You are considered to be a Pro if you, or someone you live with, is employed with an IIROC member firm or related company. Please provide a letter of confirmation from the member firm's Compliance department authorizing you to be the Authorized Trading Officer for this account.

B A FEW DETAILS about the entity

Legal (registered) name of Business or Organization
Registered Trade Name (if different from above)

Industry

Legal Address (jurisdiction where registered) Suite No.

City or Town Prov. Postal Code Contact's position at the company

Contact's Title Last Name First Name

Send Mail to Legal Address Suite No. City or Town

Prov. Postal Code Business Phone (area code, no. ext.) Date of Incorporation/Registration (Not required for Estate or Trust)

Place of Incorporation/Registration (Not Required for Estate or Trust) City Prov.

Residency for Tax purposes (Check all that apply)

Canada (You must be a resident of Canada to open a BMO InvestorLine account) Business/Trust/CRA Registration Number

U.S. Tax Identification Number (please provide a reason if Tax Identification Number is missing)

Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

Other (please specify) Tax Identification Number (please provide a reason if Tax Identification Number is missing)

Reasons for missing Tax Identification Number (TIN):

1. I have applied for a TIN but have not yet received one.

2. My jurisdiction of tax residence does not issue TINs to its residents.

3. Other (please provide details)

Please include a photocopy of the Corporate Profile for your business or organization.

C BENEFICIAL OWNERSHIP of business or organization (check the appropriate box)

Beneficial Ownership information IS NOT being provided for this entity (or affiliate) because it is:

A publicly traded company, or a publicly traded trust

Security Symbol: Exchange:

A Bank, Caisse Populaire, Credit Union, Insurance company, Investment Manager, Trustor Loan company, Mutual Fund, Mutual Fund Management company, Pension Fund, Securities Dealer or Broker, or similar financial entity subject to a satisfactory regime.

Regulator Name:

An Estate

A Government-owned corporation, such as a Canadian government body (provincial, federal department or crown agency, incorporated municipal body) or a Canadian Public Hospital, or a subsidiary thereof where the financial statements are consolidated.

A not-for-profit organization, church or union

Beneficial Ownership information is being provided for this entity (or affiliate) because it is:

The client is a Trust and the information is being provided in respect to the Settlor or Creator of the Trust AND any beneficiaries (regardless of their % of interest in the trust).

Please indicate the number of individuals who are a settlor, creator or beneficiary of the trust:

Please complete a "Beneficial Information" form for each individual identified above and proof of identity verification (where applicable).

An entity other than those listed above.

Please indicate the number of individuals who own, or exercise direct or indirect control over, more than 10% of the entity:

Please complete a "Beneficial Information" form for each individual identified above and proof of identity verification (where applicable).

D ENTITY operations

Does the entity (including parent company or subsidiaries, have, or intend to have, operations, or conduct business, outside of Canada or the U.S.? Yes No

If yes, please list all countries: _____

Business Operations include, but are not limited to: any physical presence in a foreign country as evidence by having a product or service facility, an office, a storefront, a sales force, etc.; an account or conduct of business with a foreign financial institution; dealings with a foreign government body or official.

E ENTITY activity

What is your Primary Business Activity of the business or organization listed above? _____

The Primary Business Activities – Please list and provide a brief description:

Does the business or organization listed above (or affiliate) conduct any of the following activities?

Operate as a:

Registered Charity Please provide your CRA Charity Registration Number _____

Not for Profit Organization Does your organization solicit financial donations from the public? Yes No

Operate a Money Service Business? Yes No

If yes, do you have an existing account with the BMO Financial Group? Yes No

If yes, please provide your account number: _____

Operate a Cheque Cashing / Payday Lending Business? Yes No

Operate, lease or maintain a White Label Banking Machine? Yes No

Buy or sell or deal in Precious metals, gems or fine jewellery (domestically or internationally)? Yes No

Operate a Casino, Bingo, or Gambling/Gaming service (including Internet gambling)? Yes No

Operate an Internet Gambling business? Yes No

Sell used cars, boats or airplanes? Yes No

Operate as an Arms Manufacturer, Dealer or Intermediary? Yes No

Operate as an exchange/exchanger of bitcoin or other crypto/virtual currencies? Yes No

Operate as a Medical Marijuana licensed producer? Yes No

Operate a Telemarketing / Direct Marketing business (sell goods/services via telephone or the Internet)? Yes No

Operate a Pawn broker service? Yes No

Operate an account for a Foreign Government? Yes No

Name of country: _____

Operate an account for any of the following formed outside of Canada or U.S.: Trust, Private Investment Company, or Personal Holding Company? Yes No

Name of country: _____

Operate a Correspondent Bank? Yes No

Operate a Shell Bank? Yes No

Do you have the intention to issue or have you previously issued physical Bearer Shares certificates? Yes No

F ENTITY Status Self-Certification

1. Is the entity a specified U.S. Entity (organized or incorporated in the U.S.):

No.

Yes. If yes, please provide the U.S. Taxpayer Identification Number (TIN) _____

Please note: For more information on the Canada Revenue Agency (CRA) Enhanced Financial Account Information Reporting, the U.S. Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) please refer to the CRA website or consult your legal or tax advisor.

2. Is the entity a Financial Institution (FI)?

No. If no, please select the option that best describes the non-financial entity:

A corporation with shares that regularly trade on an established securities market.

A government, central bank or international organization (or an agency of one).

The entity is an active trade or business (less than 50% of its gross income is passive income and less than 50% of its assets produce passive income) or another type of active non-financial entity not listed.

Passive not-financial entity (more than 50% of income is passive or investment income, more than 50% of assets are used to generate passive or investment income)>

Yes. If yes, please complete both section A and B below.

A. Select the option that best describes the financial Institution (FI):

Reporting Canadian FI or Partner Jurisdiction FI Global Intermediary Identification Number (GIIN): _____

Participating Foreign FI Global Intermediary Identification Number (GIIN): _____

Registered Deemed Compliant FI Global Intermediary Identification Number (GIIN): _____

Non-Reporting Canadian or Partner Jurisdiction FI

Certified Deemed Compliant FI

Non-Participating FI

B. Does the financial institution (FI) meet all of the following criteria? No Yes

1. It is a resident of a non-participating jurisdiction (for a list of participating jurisdiction visit www.cra.gc.ca)

2. At least 50% of its gross income is from investing or trading in financial assets.

3. It is managed another financial institution.

G FINANCIAL information of the business or organization

Please round to the nearest dollar.

Please provide your BMO Banking Information (if applicable).

Annual revenue from all sources _____

BMO Transit Number _____ BMO Account Number _____

Net Liquid Assets (A)
(Cash & Securities less loans outstanding against securities) _____

BMO Bank Address _____

Net fixed Assets (B)
(Fixed assets less liabilities outstanding against fixed assets) _____

Address Continued _____

Estimated Net Worth (C)
(C=A+B) _____

Funding Your Account Business income or revenue Working capital Real estate investments
 Investment in securities Royalties Other _____

Intended use of the Account Short Term Investment Long Term Investment Income Generation
 Savings Other _____

Do you have a Platinum Banking account with BMO Harris Private Banking™

Yes No

BMO Harris Platinum Bank Transit & Account number same as above OR Transit Number _____ Account Number _____

H WITH your security in mind

Please create a temporary password, which must be 6 letters and/or numbers. When you sign in to your account the first time through our automated systems, you will be asked to change this temporary password.

Password for your Account:

I TELL US ABOUT the authorized trading officer

If some other person will have authority over, or financial interest in, this account, please complete the following information. This includes Authorized Trading Officers, sole owners, partners, executors and trustees. If there is an additional Authorized Trading Officer, please complete the "Additional Authorized Trading Officer" form. There is a maximum of two Authorized Officers per account. Please contact BMO InvestorLine or visit our website for additional forms.

Preferred Language: English French Citizenship _____ Country of Residence _____

Account Characteristic(s): Pro BMO Staff
You are completing this form as: Authorized Trading Officer
 Sole Owner Beneficial Owner (own greater than 10% interest, directly or indirectly in the account)

Title _____ Last Name _____ First Name _____

Please enter your name exactly as it appears on your government-issued photo ID.

Primary Residence Address _____ Suite No. _____

(No., street, P.O. Box address is not allowed)

City or Town _____ Prov. _____ Postal Code _____

Primary Phone (area code, no.) _____ Secondary Phone (area code, no.) _____ Ext. _____

Fax No. _____ Other Daytime Phone _____ Email _____

Mailing Address if different from above _____ Suite No. _____

City or Town _____ Prov. _____ Postal Code _____

Marital Status _____ No. of Dependents _____ Date of Birth (YY/MM/DD) SIN _____

Employment Status Full-time (30 hours or more per week) Part-time (Less than 30 hours per week) Self employed Unemployed
 Retired (Please provide your last: occupation, employer's name, industry, city and prov.) Casual/Contract Seasonal

Occupation _____

Employer Name _____ Employer's Phone Number _____

Employer's Address (number, street) _____ Suite No. _____

City or Town _____ Prov. _____ Postal Code _____ Industry _____

J FINANCIAL information

Please provide your personal BMO Banking Information (if applicable).

BMO Transit Number _____ BMO Account Number _____

BMO Bank Address _____

Address Continued _____

R SIGNATURES FOR ALL ACCOUNTS (continued)

including information to identify you for products and services or information needed for regulatory requirements.

Authorized Trading Officer's Signature _____

Date YY/MM/DD

Y	Y	M	M	D	D
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CARRYING BROKER INFORMATION

I acknowledge that I have been advised that BMO InvestorLine Inc. is an Introducing Broker and BMO Nesbitt Burns Inc. is a Carrying Broker for my account. BMO InvestorLine Inc. is responsible for all compliance requirements for my account. For accounting and regulatory purposes, I am considered a client of BMO Nesbitt Burns Inc. BMO Nesbitt Burns Inc. is responsible for trade execution and settlement, custody of securities and the preparation of confirmations and account statements. Client cash balances in non-registered accounts are held by BMO Bank of Montreal, and client cash balances in registered accounts are held by BMO Trust Company.

BMO SELF CERTIFICATION – W8 TREATY

I certify that:

- A. The Entity, a resident of Canada, meets all provisions of the Canada-U.S. Tax Convention that are necessary to claim a reduced rate of withholding, including any limitation on benefits provisions, and derives the income within the meaning of section 894 of the Internal Revenue Service Income Tax Code, and the regulations thereunder, as the beneficial owner.

Either B or C will apply to you. Please select one or the other:

- B. The "Entity" meets the requirements of the limitation on benefits provisions in the Canada-U.S. Tax Convention on the basis that one of the following categories applies (please check only one box below, not applicable to Investment Clubs and Partnerships):
 - Company or trust (including small private companies and holding companies) that meet the ownership and base erosion test
 - Estate resident in Canada
 - Government
 - Tax exempt pension trust or pension fund
 - Other tax exempt organization
 - Publicly traded corporation
 - Subsidiary of a publicly traded corporation
 - Company that meets the derivative benefits test
 - Company with an item of income that meets active trade or business test
 - Favorable discretionary determination by the U.S. competent authority received
 - Other (please specify Article and paragraph): _____
- C. The beneficial owner is claiming treaty benefits for U.S. source dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation and meets qualified resident status.

Special rates and conditions:

The beneficial owner is claiming the provisions of Article _____ and paragraph _____ of the treaty identified in item A above to claim a _____ % rate of withholding on (specify type of income): _____.

Explain the additional conditions in the Article the beneficial owner meets to be eligible for the rate of withholding: _____

Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete.

I further certify under penalties of perjury that:

- The entity identified on this form is the beneficial owner of all the income to which this form relates;
- The entity identified in this form is not a U.S. person; and

- The income to which the form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity identified in this form is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity identified in this form is the beneficial owner.

I agree that I will submit a new form within 30 days if any certification on this form is incorrect.

Authorized Trading Officer's Signature _____

Date YY/MM/DD

Y	Y	M	M	D	D
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SHARED PREMISES DISCLOSURE

I/we acknowledge that, for my/our securities transactions, I/we am/will be dealing with BMO InvestorLine Inc., a Member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member of the Canadian Investor Protection Fund (CIPF).

BMO InvestorLine Inc. may share office space with the following separate but affiliated entities:

- Bank of Montreal offering banking and financial services.
- BMO Investments Inc., a subsidiary of Bank of Montreal Holding Inc., offering mutual fund products by registered mutual fund representatives, and in Quebec, by registered financial planners.
- BMO Nesbitt Burns Inc., a wholly owned subsidiary of BMO Bank of Montreal offering full service advisory services.
- Nesbitt Burns Securities Limited, a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering services to US residents as a US registered Broker-Dealer and Investment Adviser.
- BMO Estate Insurance Advisory Services Inc., a wholly owned subsidiary of BMO Nesbitt Burns offering insurance products by licensed life insurance agents, and in Quebec, by financial security advisors.
- BMO Private Investment Counsel Inc., a wholly owned subsidiary of BMO Nesbitt Burns Inc., offering trading and advising in securities and derivatives by registered individuals.
- BMO Trust Company, a wholly owned subsidiary of Bank of Montreal, offering estate, trust, planning and custodial services.

I/we acknowledge that I/we have read and understood the disclosure, and that I/we understand that these are shared premises.

Authorized Trading Officer's Signature _____

Date YY/MM/DD

Y	Y	M	M	D	D
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PERSONAL AND CREDIT INFORMATION AUTHORIZATION

I/We authorize BMO InvestorLine to obtain personal and credit information from a credit reporting company and within BMO Financial Group to verify my identity and prevent theft or fraud.

Authorized Trading Officer's Signature _____

Date YY/MM/DD

Y	Y	M	M	D	D
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Please provide a verified photocopy of 1 piece of Federal, Provincial or Territorial government issued photo ID.

